



Dkt No. 9400-0002  
PAE-0007.US

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

RECEIVED

AUG 29 2001

TECH CENTER 1600/2900

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 1 of 2

In the Application of CONTAG et al.

Serial No.: 09/464,795 Art Unit: 1632

Filed: December 16, 1999 Examiner: R. Shukla

Title: NON-INVASIVE EVALUATION OF PHYSIOLOGICAL RESOPNSE IN A MAMMAL

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
	AA-1	5,650,135 <i>Duplicate</i>	July 22, 1997	Contag et al			
RPS	AA-2	6,020,121	February 1, 2000	Bao et al	435	4	
RPS	AA-3	6,217,847	April 17, 2001	Contag et al	424	94	—

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation YES NO
RPS	AB-1	WO 96/40979 A1	December 19, 1996	PCT			
	AB-2	WO 97/11690 A2, A3	April 3, 1997	PCT			
	AB-3	WO 97/18841	May 29, 1997	PCT			
	AB-4	WO 97/40381 A1	October 30, 1997	PCT			
	AB-5	WO 00/36106	June 22, 2000	PCT			
	AB-6	WO 00/54581 A2	September 21, 2000	PCT			
	AB-7	WO 00/54581 A3	September 21, 2000	PCT			
RPS	AB-8	WO 01/18195 A2	March 15, 2001	PCT			

Examiner:

RPS

Date Considered:

9/4/01

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**RECEIVED**

AUG 29 2001

TECH CENTER 1600/2900



Atty Dkt No. 9400-0002  
PXE-0007.US

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 2 of 2

In the Application of CONTAG et al.

Serial No.: 09/464,795

Art Unit: 1632

Filed: December 16, 1999

Examiner: R. Shukla

Title: NON-INVASIVE EVALUATION OF PHYSIOLOGICAL RESOPNSE IN A MAMMAL

RPS	AB-9	WO 01/18225 A1	March 15, 2001	PCT			
RPS	AB-10	WO 01/37195 A2	May 25, 2001	PCT			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
RPS	AC 1	

Examiner:

RPS

Date Considered:

9/4/01

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.